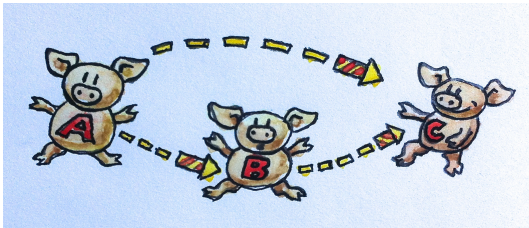


# Category Theory & Functional Data Abstraction

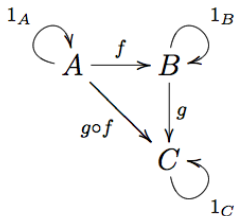
Brandon Shapiro

Math 100b



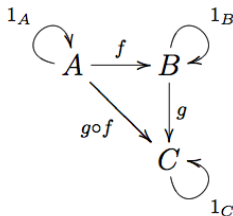
# Categories

- A category  $\mathbf{C}$  is a collection of objects with arrows (often called morphisms) pointing between them
- $\text{Hom}_{\mathbf{C}}(X, Y)$  is the set of morphisms in  $\mathbf{C}$  from  $X$  to  $Y$
- If  $f \in \text{Hom}_{\mathbf{C}}(X, Y)$  and  $g \in \text{Hom}_{\mathbf{C}}(Y, Z)$ , then there exists a morphism  $f \circ g$  in  $\text{Hom}_{\mathbf{C}}(X, Z)$  (composition is associative)
- For every object  $X$  in  $\mathbf{C}$ , there is an identity morphism  $1_X \in \text{Hom}_{\mathbf{C}}(X, X)$  ( $f \circ 1_X = f$  and  $1_X \circ g = g$ )



# Categories

- A category  $\mathbf{C}$  is a collection of objects with arrows (often called morphisms) pointing between them
- $\text{Hom}_{\mathbf{C}}(X, Y)$  is the set of morphisms in  $\mathbf{C}$  from  $X$  to  $Y$
- If  $f \in \text{Hom}_{\mathbf{C}}(X, Y)$  and  $g \in \text{Hom}_{\mathbf{C}}(Y, Z)$ , then there exists a morphism  $f \circ g$  in  $\text{Hom}_{\mathbf{C}}(X, Z)$  (composition is associative)
- For every object  $X$  in  $\mathbf{C}$ , there is an identity morphism  $1_X \in \text{Hom}_{\mathbf{C}}(X, X)$  ( $f \circ 1_X = f$  and  $1_X \circ g = g$ )



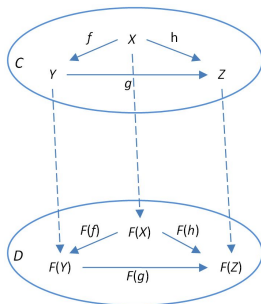
# Examples

- **Set** is the category of all sets, with functions between sets as the morphisms
- All groups also form a category, **Grp**, with group homomorphisms as its morphisms
- **Ring** and  $R\text{-mod}$  for some ring  $R$  can be formed with ring and module homomorphisms as morphisms
- A subcategory of category **C** is a category with all of its objects and morphisms contained in **C**
- Finite sets and the functions between them form a subcategory of **Set**, and abelian groups are a subcategory of **Grp**. Fields form a subcategory of the category of commutative rings, which is itself a subcategory of **Ring**

- **Set** is the category of all sets, with functions between sets as the morphisms
- All groups also form a category, **Grp**, with group homomorphisms as its morphisms
- **Ring** and  $R\text{-mod}$  for some ring  $R$  can be formed with ring and module homomorphisms as morphisms
- A subcategory of category **C** is a category with all of its objects and morphisms contained in **C**
- Finite sets and the functions between them form a subcategory of **Set**, and abelian groups are a subcategory of **Grp**. Fields form a subcategory of the category of commutative rings, which is itself a subcategory of **Ring**

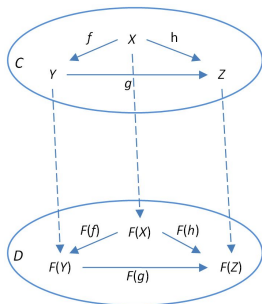
# Functors

- A functor is a structure preserving map between categories
- For categories  $\mathbf{C}$  and  $\mathbf{D}$ , a covariant functor  $\mathcal{F} : \mathbf{C} \rightarrow \mathbf{D}$  sends the objects of  $\mathbf{C}$  to objects in  $\mathbf{D}$ , and sends the morphisms in  $\mathbf{C}$  to morphisms in  $\mathbf{D}$
- If  $f \in \text{Hom}_{\mathbf{C}}(X, Y)$ ,  $\mathcal{F}(f) \in \text{Hom}_{\mathbf{D}}(\mathcal{F}(X), \mathcal{F}(Y))$
- $\mathcal{F}(1_X) = 1_{\mathcal{F}(X)}$ ,  $\mathcal{F}(f \circ g) = \mathcal{F}(f) \circ \mathcal{F}(g)$



# Functors

- A functor is a structure preserving map between categories
- For categories  $\mathbf{C}$  and  $\mathbf{D}$ , a covariant functor  $\mathcal{F} : \mathbf{C} \rightarrow \mathbf{D}$  sends the objects of  $\mathbf{C}$  to objects in  $\mathbf{D}$ , and sends the morphisms in  $\mathbf{C}$  to morphisms in  $\mathbf{D}$
- If  $f \in \text{Hom}_{\mathbf{C}}(X, Y)$ ,  $\mathcal{F}(f) \in \text{Hom}_{\mathbf{D}}(\mathcal{F}(X), \mathcal{F}(Y))$
- $\mathcal{F}(1_X) = 1_{\mathcal{F}(X)}$ ,  $\mathcal{F}(f \circ g) = \mathcal{F}(f) \circ \mathcal{F}(g)$



# Examples

- The identity functor from  $\mathbf{C}$  to  $\mathbf{C}$  sends every object and morphism in  $\mathbf{C}$  to itself.
- Let  $\mathcal{F}$  be a map from  $\mathbf{Grp}$  to  $\mathbf{Set}$  sending groups and homomorphisms in  $\mathbf{Grp}$  to themselves in  $\mathbf{Set}$ .  $\mathcal{F}$  is a functor from  $\mathbf{Grp}$  to  $\mathbf{Set}$  called the ‘forgetful functor’
- Similarly, forgetful functors exist from  $\mathbf{Ring}$  and  $R\text{-mod}$  to  $\mathbf{Grp}$  and to  $\mathbf{Set}$
- A functor from a category to itself is called an endofunctor
- The identity functor is an endofunctor

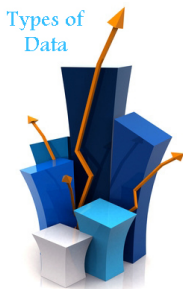


# Examples

- The identity functor from  $\mathbf{C}$  to  $\mathbf{C}$  sends every object and morphism in  $\mathbf{C}$  to itself.
- Let  $\mathcal{F}$  be a map from  $\mathbf{Grp}$  to  $\mathbf{Set}$  sending groups and homomorphisms in  $\mathbf{Grp}$  to themselves in  $\mathbf{Set}$ .  $\mathcal{F}$  is a functor from  $\mathbf{Grp}$  to  $\mathbf{Set}$  called the ‘forgetful functor’
- Similarly, forgetful functors exist from  $\mathbf{Ring}$  and  $R\text{-mod}$  to  $\mathbf{Grp}$  and to  $\mathbf{Set}$
- A functor from a category to itself is called an endofunctor
- The identity functor is an endofunctor

# Date Types

- In computer programming languages, a data type is a set of elements that can be represented by a computer (finitely in binary) in the same way
- Two of the most common data types are  $\mathbb{Z}$  and  $\mathbb{R}$
- Real-world computing has constraints on memory, etc.
- Mathematically, a data type can be treated just as a set



- **Set** has sets as objects and functions as morphisms

$$\begin{aligned} \text{Maybe} &: \mathbf{Set} \rightarrow \mathbf{Set} \\ \text{Maybe}(A) &= A \cup \{\text{Nothing}\} \end{aligned}$$

- *Maybe* lets us define 'safe' versions of partial functions

$$\begin{aligned} f &: \mathbb{R} \rightarrow \text{Maybe}(\mathbb{R}) \\ f(0) &= \text{Nothing} \\ f(x) &= 1/x \quad (x \neq 0) \end{aligned}$$

- **Set** has sets as objects and functions as morphisms

$$\begin{aligned} \mathit{Maybe} &: \mathbf{Set} \rightarrow \mathbf{Set} \\ \mathit{Maybe}(A) &= A \cup \{\mathit{Nothing}\} \end{aligned}$$

- *Maybe* lets us define ‘safe’ versions of partial functions

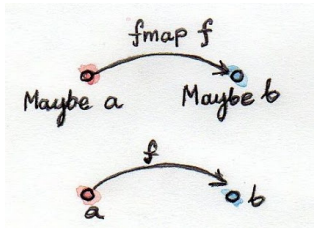
$$\begin{aligned} f &: \mathbb{R} \rightarrow \mathit{Maybe}(\mathbb{R}) \\ f(0) &= \mathit{Nothing} \\ f(x) &= 1/x \quad (x \neq 0) \end{aligned}$$

# Maybe

- *Maybe* is a functor from **Set** to **Set** (endofunctor)
- Needs a mapping for the morphisms (functions)

$$\begin{aligned} \mathcal{M}map &: \text{Hom}(A, B) \rightarrow \text{Hom}(\text{Maybe}(A), \text{Maybe}(B)) \\ \mathcal{M}map(f)(\text{Nothing}) &= \text{Nothing} \\ \mathcal{M}map(f)(x) &= f(x) \quad (x \neq \text{Nothing}) \end{aligned}$$

- $\mathcal{M}map(1_A) = 1_{\text{Maybe}(A)}$
- $\mathcal{M}map(f \circ g) = \mathcal{M}map(f) \circ \mathcal{M}map(g)$



# Maybe

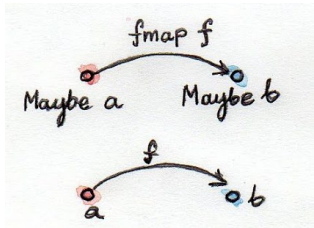
- *Maybe* is a functor from **Set** to **Set** (endofunctor)
- Needs a mapping for the morphisms (functions)

$$\mathcal{M}map : Hom(A, B) \rightarrow Hom(\mathcal{M}aybe(A), \mathcal{M}aybe(B))$$

$$\mathcal{M}map(f)(\text{Nothing}) = \text{Nothing}$$

$$\mathcal{M}map(f)(x) = f(x) \quad (x \neq \text{Nothing})$$

- $\mathcal{M}map(1_A) = 1_{\mathcal{M}aybe(A)}$
- $\mathcal{M}map(f \circ g) = \mathcal{M}map(f) \circ \mathcal{M}map(g)$



- $\mathcal{L}ist$  sends a set  $A$  to the set of 'lists' of elements in  $A$

$$\mathcal{L}ist : \mathbf{Set} \rightarrow \mathbf{Set}$$

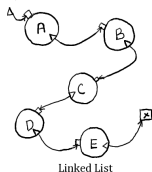
$$\mathcal{L}ist(A) = \{()\} \cup \{(x, xlist) \mid x \in A, xlist \in \mathcal{L}ist(A)\}$$

- $()$  is called the empty list

$$(1, (2, (3, (4, ()))))) \in \mathcal{L}ist(\mathbb{Z})$$

$$(1/2, (Nothing, (1/4, ()))) \in \mathcal{L}ist(\mathit{Maybe}(\mathbb{Q}))$$

$$(1, 2, 3, 4) \in \mathcal{L}ist(\mathbb{Z})$$



- $\mathcal{L}ist$  sends a set  $A$  to the set of 'lists' of elements in  $A$

$$\mathcal{L}ist : \mathbf{Set} \rightarrow \mathbf{Set}$$

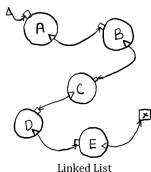
$$\mathcal{L}ist(A) = \{()\} \cup \{(x, xlist) \mid x \in A, xlist \in \mathcal{L}ist(A)\}$$

- $()$  is called the empty list

$$(1, (2, (3, (4, ()))))) \in \mathcal{L}ist(\mathbb{Z})$$

$$(1/2, (Nothing, (1/4, ()))) \in \mathcal{L}ist(\mathit{Maybe}(\mathbb{Q}))$$

$$(1, 2, 3, 4) \in \mathcal{L}ist(\mathbb{Z})$$





- $\mathcal{L}ist$  sends a set  $A$  to the set of 'lists' of elements in  $A$

$$\mathcal{L}ist : \mathbf{Set} \rightarrow \mathbf{Set}$$

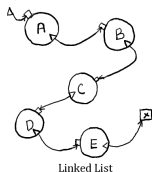
$$\mathcal{L}ist(A) = \{()\} \cup \{(x, xlist) \mid x \in A, xlist \in \mathcal{L}ist(A)\}$$

- $()$  is called the empty list

$$(1, (2, (3, (4, ()))))) \in \mathcal{L}ist(\mathbb{Z})$$

$$(1/2, (Nothing, (1/4, ()))) \in \mathcal{L}ist(Maybe(\mathbb{Q}))$$

$$(1, 2, 3, 4) \in \mathcal{L}ist(\mathbb{Z})$$



- $\mathcal{L}ist$  is an endofunctor on **Set**
- Needs a mapping for the morphisms (functions)

$$\mathcal{L}map : Hom(A, B) \rightarrow Hom(\mathcal{L}ist(A), \mathcal{L}ist(B))$$

$$\mathcal{L}map(f)(()) = ()$$

$$\mathcal{L}map(f)((x, xlist)) = (f(x), \mathcal{L}map(f)(xlist))$$

For  $f(x) = x^2$ ,  $\mathcal{L}map(f)((1, 2, 3, 4)) = (1, 4, 9, 16)$

- Clearly satisfies functor laws (identity and composition)

- $\mathcal{L}ist$  is an endofunctor on **Set**
- Needs a mapping for the morphisms (functions)

$$\mathcal{L}map : Hom(A, B) \rightarrow Hom(\mathcal{L}ist(A), \mathcal{L}ist(B))$$

$$\mathcal{L}map(f)(()) = ()$$

$$\mathcal{L}map(f)((x, xlist)) = (f(x), \mathcal{L}map(f)(xlist))$$

For  $f(x) = x^2$ ,  $\mathcal{L}map(f)((1, 2, 3, 4)) = (1, 4, 9, 16)$

- Clearly satisfies functor laws (identity and composition)

- $\mathcal{L}ist$  is an endofunctor on **Set**
- Needs a mapping for the morphisms (functions)

$$\mathcal{L}map : Hom(A, B) \rightarrow Hom(\mathcal{L}ist(A), \mathcal{L}ist(B))$$

$$\mathcal{L}map(f)(()) = ()$$

$$\mathcal{L}map(f)((x, xlist)) = (f(x), \mathcal{L}map(f)(xlist))$$

For  $f(x) = x^2$ ,  $\mathcal{L}map(f)((1, 2, 3, 4)) = (1, 4, 9, 16)$

- Clearly satisfies functor laws (identity and composition)

- $\mathcal{L}ist$  is an endofunctor on **Set**
- Needs a mapping for the morphisms (functions)

$$\mathcal{L}map : Hom(A, B) \rightarrow Hom(\mathcal{L}ist(A), \mathcal{L}ist(B))$$

$$\mathcal{L}map(f)(()) = ()$$

$$\mathcal{L}map(f)((x, xlist)) = (f(x), \mathcal{L}map(f)(xlist))$$

$$\text{For } f(x) = x^2, \mathcal{L}map(f)((1, 2, 3, 4)) = (1, 4, 9, 16)$$

- Clearly satisfies functor laws (identity and composition)

# Applicative Functors

- What does an endofunctor on **Set** do to a set of functions?
- An applicative functor is a functor with a 'splat' function

$$\mathcal{F}splat : \mathcal{F}(Hom(A, B)) \rightarrow Hom(\mathcal{F}(A), \mathcal{F}(B))$$

- $\mathcal{F}splat$  can also be defined as a binary function

$$\mathcal{F}splat : \mathcal{F}(Hom(A, B)) \times \mathcal{F}(A) \rightarrow \mathcal{F}(B)$$

- There are rules applicative functors must follow

# Applicative Functors

- What does an endofunctor on **Set** do a set of functions?
- An applicative functor is a functor with a ‘splat’ function

$$\mathcal{F}splat : \mathcal{F}(Hom(A, B)) \rightarrow Hom(\mathcal{F}(A), \mathcal{F}(B))$$

- $\mathcal{F}splat$  can also be defined as a binary function

$$\mathcal{F}splat : \mathcal{F}(Hom(A, B)) \times \mathcal{F}(A) \rightarrow \mathcal{F}(B)$$

- There are rules applicative functors must follow

# Applicative Functors

- What does an endofunctor on **Set** do a set of functions?
- An applicative functor is a functor with a ‘splat’ function

$$\mathcal{F}splat : \mathcal{F}(Hom(A, B)) \rightarrow Hom(\mathcal{F}(A), \mathcal{F}(B))$$

- $\mathcal{F}splat$  can also be defined as a binary function

$$\mathcal{F}splat : \mathcal{F}(Hom(A, B)) \times \mathcal{F}(A) \rightarrow \mathcal{F}(B)$$

- There are rules applicative functors must follow



# Applicative Functors

- What does an endofunctor on **Set** do a set of functions?
- An applicative functor is a functor with a ‘splat’ function

$$\mathcal{F}splat : \mathcal{F}(Hom(A, B)) \rightarrow Hom(\mathcal{F}(A), \mathcal{F}(B))$$

- $\mathcal{F}splat$  can also be defined as a binary function

$$\mathcal{F}splat : \mathcal{F}(Hom(A, B)) \times \mathcal{F}(A) \rightarrow \mathcal{F}(B)$$

- There are rules applicative functors must follow

# Applicative Functors

- *Maybe* is an applicative functor

$$\begin{aligned} \mathcal{M}splat &: \mathcal{M}aybe(\mathit{Hom}(A, B)) \times \mathcal{M}aybe(A) \rightarrow \mathcal{M}aybe(B) \\ \mathcal{M}splat(\mathit{Nothing})(\_) &= \mathcal{M}splat(\_)(\mathit{Nothing}) = \mathit{Nothing} \\ \mathcal{M}splat(f)(x) &= f(x) \end{aligned}$$

- *List* is an applicative functor

$$\begin{aligned} \mathcal{L}splat &: \mathcal{L}ist(\mathit{Hom}(A, B)) \times \mathcal{L}ist(A) \rightarrow \mathcal{L}ist(B) \\ \mathcal{L}splat_1(\_)(\_) &= \mathcal{L}splat_1(\_)(\_) = \_ \\ \mathcal{L}splat_1((f, flist))((x, xlist)) &= (f(x), \mathcal{L}splat_1(flist)(xlist)) \end{aligned}$$

- Could *List* be an applicative functor in any other ways?

# Applicative Functors

- *Maybe* is an applicative functor

$$\begin{aligned} \mathcal{M}splat &: \mathcal{M}aybe(\mathit{Hom}(A, B)) \times \mathcal{M}aybe(A) \rightarrow \mathcal{M}aybe(B) \\ \mathcal{M}splat(\mathit{Nothing})(\_) &= \mathcal{M}splat(\_)(\mathit{Nothing}) = \mathit{Nothing} \\ \mathcal{M}splat(f)(x) &= f(x) \end{aligned}$$

- *List* is an applicative functor

$$\begin{aligned} \mathcal{L}splat &: \mathcal{L}ist(\mathit{Hom}(A, B)) \times \mathcal{L}ist(A) \rightarrow \mathcal{L}ist(B) \\ \mathcal{L}splat_1(())(\_) &= \mathcal{L}splat_1(\_)(()) = () \\ \mathcal{L}splat_1((f, flist))((x, xlist)) &= (f(x), \mathcal{L}splat_1(flist)(xlist)) \end{aligned}$$

- Could *List* be an applicative functor in any other ways?

# Applicative Functors

- *Maybe* is an applicative functor

$$\begin{aligned} \mathcal{M}splat &: \mathcal{M}aybe(\mathit{Hom}(A, B)) \times \mathcal{M}aybe(A) \rightarrow \mathcal{M}aybe(B) \\ \mathcal{M}splat(\mathit{Nothing})(\_) &= \mathcal{M}splat(\_)(\mathit{Nothing}) = \mathit{Nothing} \\ \mathcal{M}splat(f)(x) &= f(x) \end{aligned}$$

- *List* is an applicative functor

$$\begin{aligned} \mathcal{L}splat &: \mathcal{L}ist(\mathit{Hom}(A, B)) \times \mathcal{L}ist(A) \rightarrow \mathcal{L}ist(B) \\ \mathcal{L}splat_1(\_)(\_) &= \mathcal{L}splat_1(\_)(\_) = \_ \\ \mathcal{L}splat_1((f, flist))((x, xlist)) &= (f(x), \mathcal{L}splat_1(flist)(xlist)) \end{aligned}$$

- Could *List* be an applicative functor in any other ways?

## Sources

- Abstract Algebra by Dummit and Foote
- [http://en.wikibooks.org/wiki/Haskell/Category\\_theory](http://en.wikibooks.org/wiki/Haskell/Category_theory)
- Lectures by and conversations with Kenny Foner

## Images

- [https://bartoszmilewski.files.wordpress.com/2014/10/img\\_1330.jpg](https://bartoszmilewski.files.wordpress.com/2014/10/img_1330.jpg)
- [http://shuklan.com/haskell/L12\\_files/category.png](http://shuklan.com/haskell/L12_files/category.png)
- <http://ncatlab.org/nlab/files/functor.jpg>
- <http://www.programmingtunes.com/wp-content/uploads/2013/04/Types-of-Data.jpg>
- [https://lh3.googleusercontent.com/proxy/Wkz6vWx9ntZrS2FCduApSQ0E-YsddspOrfnWyKP2J-49Uu8\\_5ahu-IOEfHLMt7w2IZMvQ\\_vhDGxCKqHIMo1C\\_0VCkrCFeSzfvtW4PjD](https://lh3.googleusercontent.com/proxy/Wkz6vWx9ntZrS2FCduApSQ0E-YsddspOrfnWyKP2J-49Uu8_5ahu-IOEfHLMt7w2IZMvQ_vhDGxCKqHIMo1C_0VCkrCFeSzfvtW4PjD)