

Familial Monads for Higher and Lower Category Theory

Brandon Shapiro

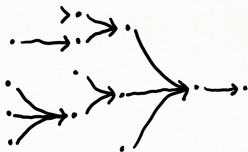
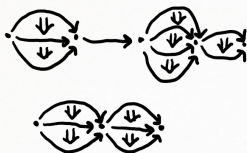
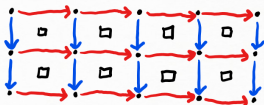
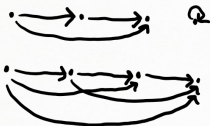
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PolyFun 2022



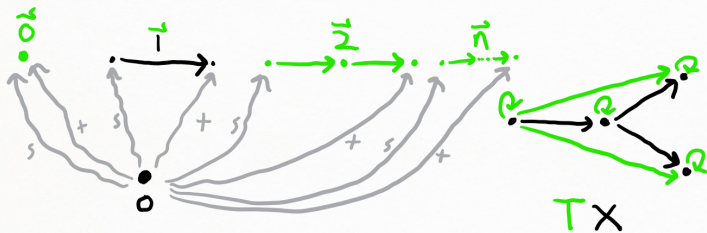
Categories with Different Cell Shapes

- Categories
 - 2-Categories
 - Double-Categories
 - Multi-Categories
- dots, arrows
 - dots, arrows, globular 2-cells
 - dots, red/blue arrows, squares
 - dots, n -to-1 arrows, $n \geq 0$



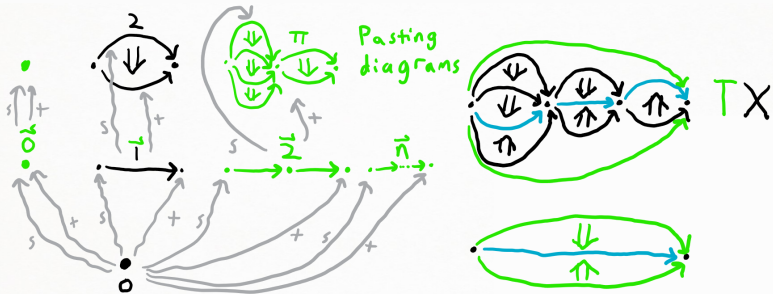
Familial Monads on Cell Diagrams

- G_1 is the category $0 \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} 1$
- $\widehat{G}_1 = \text{Set}^{G_1^{op}}$ is the category of graphs
- Categories are algebras for a monad T on \widehat{G}_1
- $TX_0 = X_0 = \text{Hom}_{\widehat{G}_1}(\cdot, X)$
 $TX_1 = \{\text{paths in } X\} = \coprod_{n \geq 0} \text{Hom}_{\widehat{G}_1}(\cdot \rightarrow \cdots \rightarrow \cdot, X)$



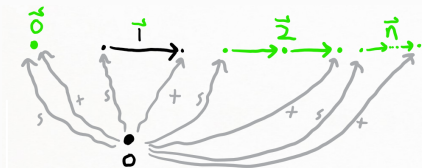
Familial Monads on Cell Diagrams

- G_2 is the category $0 \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} 1 \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} 2$
- \widehat{G}_2 is the category of 2-graphs
- 2-Categories are algebras for a monad T on \widehat{G}_2
- ▶ • $TX_0 = \text{Hom}_{\widehat{G}_2}(\cdot, X)$ $TX_1 = \coprod_{n \geq 0} \text{Hom}_{\widehat{G}_2}(\cdot \rightarrow \cdots \xrightarrow{n} \cdot, X)$
- $TX_2 = \coprod_{\pi} \text{Hom}_{\widehat{G}_2}(\pi, X)$



Familial Monads on Cell Diagrams

- The data of a familial functor $F : \hat{\mathcal{D}} \rightarrow \hat{\mathcal{C}}$ consists of:
 - A functor $S : \mathcal{C}^{op} \rightarrow \text{Set}$ (operations outputting a c-cell)
 - A functor $E : \int S \rightarrow \hat{\mathcal{D}}$ (arities of the operations)
- For c in \mathcal{C} , X in $\hat{\mathcal{D}}$, $FX_c = \coprod_{t \in S_c} \text{Hom}_{\hat{\mathcal{D}}}(Et, X)$



Example: Free category monad on \widehat{G}_1

- $S_0 = \{0\}$, $S_1 = \mathbb{N}$, $E_n = \cdot \rightarrow \cdot \overset{n}{\dots} \rightarrow \cdot$
- $TX_0 = \text{Hom}_{\widehat{G}_1}(\cdot, X)$, $TX_1 = \coprod_{n \geq 0} \text{Hom}_{\widehat{G}_1}(\cdot \rightarrow \cdot \overset{n}{\dots} \rightarrow \cdot, X)$

Familial Monads on Cell Diagrams

- The data of a familial functor $F : \hat{\mathcal{D}} \rightarrow \hat{\mathcal{C}}$ consists of:
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- For c in \mathcal{C} , X in $\hat{\mathcal{D}}$, $FX_c = \coprod_{t \in \text{Sc}} \text{Hom}_{\hat{\mathcal{D}}}(Et, X)$
- A monad (T, η, μ) on $\hat{\mathcal{C}}$ is familial if T is familial and η, μ are cartesian
- For 0 the empty category, a familial functor $\hat{0} \rightarrow \hat{\mathcal{D}}$ is just a presheaf S over \mathcal{D}

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- $TX_0 = \text{Hom}_{\hat{G}_1}(\cdot, X)$, $TX_1 = \coprod_{n \geq 0} \text{Hom}_{\hat{G}_1}(\cdot \rightarrow \cdot \overset{n}{\cdots} \rightarrow \cdot, X)$
- Unit and multiplication on edges given by length 1 paths and path concatenation

Familial Monads in *Poly*

- The category *Poly* of polynomial endofunctors on *Set* is a rich environment, including a monoidal structure (\triangleleft, y) given by composition and identity
- Categories are \triangleleft -comonoids in *Poly* (Ahman-Uustalu)
- Bicomodules in *Poly* from \mathcal{D}^{op} to \mathcal{C}^{op} are familial functors (aka prafunctors) $F : \hat{\mathcal{D}} \rightarrow \hat{\mathcal{C}}$ (Garner)
- Bicomodules from 0 to \mathcal{D}^{op} are presheaves X over \mathcal{D} , and the composition $F \circ X$ of bicomodules is the presheaf FX over \mathcal{C}
- In the bicategory of categories and polynomial bicomodules, bimodules from the identity monad on $\hat{0}$ to a familial monad T on $\hat{\mathcal{C}}$ are T -algebras
- In this sense, algebraic higher categories “live in” *Poly*

Commutativity Problems

- Familial endofunctors on Set are polynomial functors, of the form

$$FX = \coprod_{t \in S} \mathit{Hom}_{\mathit{Set}}(Et, X)$$

for some set S and functor $E : S \rightarrow \mathit{Set}$

- Monoids are algebras for a familial monad:

$$TX = \coprod_{n \in \mathbb{N}} \mathit{Hom}_{\mathit{Set}}(\underline{n}, X)$$

- The category of commutative monoids is not one of algebras for a familial monad:

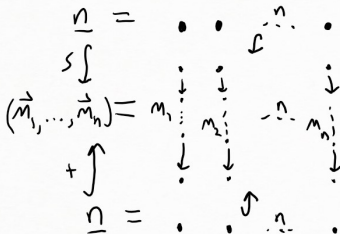
$$TX = \coprod_{n \in \mathbb{N}} \mathit{Hom}_{\mathit{Set}}(\underline{n}, X) / \Sigma_n$$

- Familial monads can't model strict commutativity conditions
- They can model commutativity *up to a higher cell*, like in symmetric monoidal categories

Free (Symmetric) Monoidal Categories on Graphs

- G_1 is the category $0 \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} 1$, whose presheaves are graphs
- Define $S : G_1^{op} \rightarrow Set$ as $\mathbb{N} \begin{array}{c} \xleftarrow{n \mapsto (m_1, \dots, m_n)} \\ \xleftarrow{n \mapsto (m_1, \dots, m_n)} \end{array} \coprod_{n \in \mathbb{N}} \mathbb{N}^n$
- $E : \int S \rightarrow \widehat{G_1}$ sends n to \underline{n} and (m_1, \dots, m_n) to $(\vec{m}_1, \dots, \vec{m}_n)$ with the source and target inclusions as below
- The monad T on $\widehat{G_1}$ has strict monoidal cats as algebras:

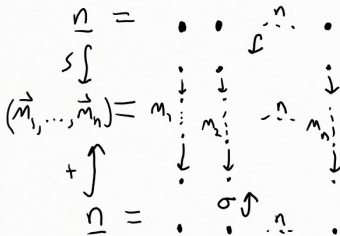
$$TX_0 = \coprod_{n \in \mathbb{N}} Hom_{\widehat{G_1}}(\underline{n}, X) \quad TX_1 = \coprod_{n, m_1, \dots, m_n \in \mathbb{N}} Hom_{\widehat{G_1}}((\vec{m}_1, \dots, \vec{m}_n), X)$$



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- $E : \int S \rightarrow \widehat{G}_1$ sends n to \underline{n} and $(m_1, \dots, m_n, \sigma)$ to $(\vec{m}_1, \dots, \vec{m}_n)$ with the source and target inclusions as below
- ▶ • T -algebras are now symmetric strict monoidal cats

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Free (Symmetric) Monoidal Categories on Graphs

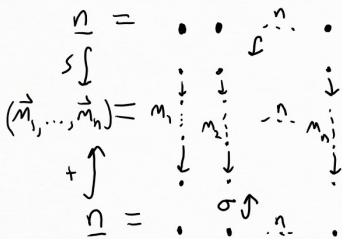
- T -algebras are now symmetric strict monoidal cats

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- Write $v_1 \otimes \dots \otimes v_n$ for the n -ary product of vertices v_1, \dots, v_n (aka $v : \underline{n} \rightarrow X$)
- When $m_1 = \dots = m_n = 0$, T provides for $\sigma \in \Sigma_n$ an edge

$$v_1 \otimes \dots \otimes v_n \rightarrow v_{\sigma(1)} \otimes \dots \otimes v_{\sigma(n)}$$

- The case $m_1 = \dots = m_n = 1$ encodes naturality of the symmetries, and the monad structure ensures invertibility etc.



Commutativity Solution?

- A discrete symmetric monoidal category is the same as a commutative monoid
- The category of commutative monoids is then the pullback of the diagram below

$$\begin{array}{ccc} \text{SymMonCat} & & \\ \downarrow & & \\ \widehat{G}_1 & \xleftarrow{\text{discrete}} & \widehat{1} = \text{Set} \end{array}$$

- This diagram may be more easily described in *Poly* than the category of commutative monoids

- Brandon Shapiro, “Familial Monads as Higher Category Theories.” arXiv:2111.14796
- David Spivak, “Functorial Aggregation.” arXiv:2111.10968

Thanks!